## DECLARATION

I declare that we are a federally recognized Indian nation, tribe, pueblo, or in the case of the Navajo Nation, a chapter.

I declare that the proposed project we are seeking funding for is fully endorsed and supported by the tribal leadership as a current critical infrastructure need and is included in the 2021-2025 ICIP.

I declare that we are able to receive and administer TIF grant funds should the project be funded.

I, the undersigned, declare that I am authorized to represent the tribe for which the funding application is being submitted.

## TRIBE & PROJECT INFORMATION

1. **Name of Tribe:**

2. **New Mexico County wherein the Project is located:**

3. **Legislative District**

   a. Senate:
   b. House:

4. **Executive Order 2013-006 Compliance**

   Is the tribe currently in compliance with Executive Order 2013-006?

   ☐ Yes        ☐ No

5. **Project Title (100 characters):**

6. **Total Estimated Project Cost:**

7. **Total Amount of TIF Funding Requested:**

   *(Please note, the requested amount for construction projects cannot exceed 15% of the total TIF funding available.)*

8. **Provide the 2022-2026 ICIP identification number for the project:**

   \*\*\* Please upload the ICIP Summary list as part of the supporting documentation.

## CRITICAL NEED (30 POINTS AVAILABLE)

1. **Describe in detail the health, safety and/or welfare concerns to be addressed with the project, and provide relevant, reliable data in support (0-15 Points Available):**

2. **Describe in detail the specific solution(s) to the health, safety and/or welfare concerns articulated above and provide relevant, reliable data in support (0-15 Points Available):**

## PROJECT READINESS (30 POINTS AVAILABLE)

1. **Identify the components of the project that have already been completed.**

   *A checklist with project components specific to the project type will be provided.*

2. **Describe in detail all of the components of the project that you have already completed. This may include (but is not limited to) the following (as applicable):**

   *Pre-planning:* Endorsements by tribal leadership, community outreach, preliminary coordination with internal and external agencies.

   *Planning:* Community input, coordination and collaboration, feasibility studies, preliminary engineering reports, conceptual designs, financial and business feasibility studies.

   *Land Approval:* Boundary surveys of required land (for easements, rights of way, or new facilities), documented tribal approval for the land use, documented approval from government agencies for the land use OR state that the project renovates or rehabilitates an existing facility.

   *Surveys, Studies, and Clearances:* Topographic surveys, geotechnical studies and reports, environmental studies/reports/clearances, cultural resources studies/reports/ clearances, Clean Water Act and other permits, utility assessments (for new buildings), other necessary permits and licenses.

   *Engineering design:* State the percent complete.

   *Construction:* Describe any aspects or phases of construction which are already complete.

3. **Describe in detail all the components of the project that you will complete using this funding. This may include (but is not limited to) the following (as applicable):**

   *Pre-planning*: Endorsements by tribal leadership, community outreach, preliminary coordination with internal and external agencies.

   *Planning*: Community input, coordination and collaboration, feasibility studies, preliminary engineering reports, conceptual designs, financial and business feasibility studies

   *Land Approval:* Boundary surveys of required land (for easements, rights of way, or new facilities), documented tribal approval for the land use, documented approval from

government agencies for the land use OR state that the project renovates or rehabilitates an existing facility.

*Surveys, Studies, and Clearances:* Topographic surveys, geotechnical studies and reports, environmental studies/reports/clearances, cultural resources studies/reports/ clearances, Clean Water Act and other permits, utility assessments (for new buildings), other necessary permits and licenses.

*Engineering design:* State the percentages of design that will be completed with this funding.

*Construction*: Describe any phases of construction that will be completed with this funding.

4. **Provide a timeline showing the completion of all steps described in the response to question 2:**


5. **Detailed budget showing project costs: (Note that expected sources of revenue are described in the budget provided for the "leveraging" question, and should not be included here)**

   a. Provide a detailed budget that reflects all of the components described in question 2, as well as associated costs such as construction management and project management (*add each project component and budget amount individually*):
      i. Enter a project component,
      ii. Enter an amount

   b. State who developed the detailed budget:

   c. Briefly describe the qualifications of the person or entity that developed the detailed budget:

## CAPACITY (25 POINTS AVAILABLE)

The Applicant has demonstrated timely expenditure and compliance with requirements for grant funding *(documentation will be provided to the Project Review Committee by IAD).*

Upload the most recent three (3) months of CPMS reports for active and/or completed TIF funded projects for the past two (2) years.

Check box if the tribe has not had an active TIF project in the past two (2) years.

## LEVERAGING (15 POINTS AVAILABLE)

1.   **State the anticipated overall cost (budget) or the project:**

2.   **State the amount of TIF funds that are being requested for this project:**

3.   **For Cash Match:**

    a.   State each:
        i.    Funding source,
        ii.   Date the funds were or will be secured, and
        iii.  Dollar amount.

4.   **For In-kind Support:**

    b.   Describe each:
        i.    Source of in-kind support in detail (e.g. staff time for project management, staff time for grant administration, land);
        ii.   Detailed method by which the dollar amount of this in-kind support was calculated (e.g. 4- hours at $15/hr = $600), and
    c.   Enter the total of all sources of in-kind support

*Note: Percentage of cash match, in-kind match and total match is calculated automatically.*

# APPLICATION SCORING CRITERIA

## 1. CRITICAL NEED (30 TOTAL POSSIBLE POINTS):

Projects that demonstrate a high degree of critical need with an emphasis on addressing specific health, safety, welfare, or economic development needs in accordance with the Tribal Infrastructure Act.

***A. Applicant provided a detailed statement addressing a specific critical need(s), supported by reliable data*** *(15 Points total in this section)*

> 1. Applicant provided a detailed justification with ample references (where applicable) to studies, reports, community and stakeholder support, political endorsements, and other relevant, quantifiable data describing the critical need (Allocate 10-15 Points)

> 2. Applicant provided a somewhat detailed justification with some references (where applicable) to studies, reports, community and stakeholder support, political endorsements, and other data describing the critical need (Allocate 4-9 Points)

> 3. Applicant failed to provide a sufficient justification, failed to provide (where applicable), studies, reports, community and stakeholder support, political endorsements, or any other supporting data describing the critical need (Allocate 0-3 Points)

***B. Applicant provided a statement proposing a solution to the specific critical need(s), supported by reliable data*** *(15 Points total in this section)*

> 1. Applicant provided a detailed solution with ample references (where applicable) to studies, reports and other relevant, quantifiable data that demonstrate the viability of this solution (Allocate 10-15 Points)

> 2. Applicant provided a somewhat detailed justification with some references (where applicable) to studies, reports and other data that demonstrate the viability of this solution (Allocate 4-9 Points)

> 3. Applicant failed to provide a sufficient justification, failed to provide (where applicable), studies, reports, or any other supporting data that demonstrate the viability of this solution (Allocate 0-3 Points)

## 2. READINESS (30 TOTAL POSSIBLE POINTS):

Projects are ready to proceed, clearly demonstrating the ability to complete the project within the maximum time period for the TIF grant as specified in the TIF Guidelines. Projects requesting funding for planning only must *describe* all aspects of the planning phase and land approval phase. Projects requesting funding for other aspects of project development (with or without planning) must *demonstrate* completion of some aspects of planning and land approval for the project. All projects must demonstrate, with a chart and narrative explanation, how the project will be completed within the maximum time period for the TIF grant; and provide an accurate budget for the project.

*A. Project scope:*

*OPTION 1: If the project is specifically requesting funding for planning only, use the criteria in this section:*

*Planning process (8 Possible points) - Select one paragraph that best describes the project. Award points accordingly*

1.  The project has identified all applicable components of the planning phase, including community input; coordination and collaboration; feasibility studies; preliminary engineering reports; conceptual designs; and financial and business feasibility studies; in a timeline and narrative explanation. (Allocate 7-8 Points)

*Note: The planning phase may also – but is not required to – include topographic surveys; geotechnical studies and reports; environmental studies, reports, and clearances; cultural resources studies, reports, and clearances; Clean Water Act and other permits; utility assessments (for new buildings); and other necessary permits and licenses*

2.  The project has identified some but not all of the applicable components of the planning phase as described above in a timeline and narrative explanation. (Allocate 3-6 Points)

3.  The project has not identified any of the applicable components of the planning phase as described above in a timeline and narrative explanation. (Allocate 0-2 Points)

*Land approval process (8 Possible points) - Select one paragraph that best describes the project. Award points accordingly*

1. The project has official and documented approval, with surveys, for land use for easements, rights of way, or new facilities, as applicable OR the project renovates or rehabilitates an existing facility. (Allocate 7-8 Points)

2. The project has identified some but not all of the applicable components of the land use approval process as described above in a timeline and narrative explanation. (Allocate 3-6 Points)

3. The project has not identified any of the applicable components of the land use approval process as described above in a timeline and narrative explanation. (Allocate 0-2 Points)

**OPTION 2: If the project is requesting funding for any other project, including projects with a planning component plus other components, use the criteria in this sub-section:**

*Planning completion (8 possible points) - Select one paragraph that best describes the project. Award points accordingly*

1. The project has completed all applicable components of the planning phase, including community input; coordination and collaboration; feasibility studies; preliminary engineering reports; conceptual designs; and financial and business feasibility studies. (Allocate 6-8 Points)

2. The project has completed some of the above components of the planning phase and has clearly identified all applicable components in a project timeline. (Allocate 3-5 Points)

3. The project has not completed any of the above components of the planning phase but has identified some applicable components in a project timeline. (Allocate 1-2 Points)

4. The project has not completed any of the above components of the planning phase and has not identified them in the project timeline. (Allocate 0 Points)

*Land approvals (8 possible points) - Select one paragraph that best describes the project. Award points accordingly*

1. The project has official and documented approval, with surveys, for land use for easements, rights of way, or new facilities, OR the project renovates or rehabilitates an existing facility. (Allocate 6-8 Points)

2. The project has completed some of the above components of the land approval process and has clearly identified all applicable components in a project timeline. (Allocate 3-5 Points)

3. The project has not completed any of the above components of the land approval process but has identified some applicable components in a project timeline. (Allocate 1-2 Points)

4. The project has not completed any of the above components of the land approval process and has not identified them in the project timeline. (Allocate 0 Points)

**B. Timeline (All projects receive scoring in this section)**
*Timeline (8 Possible points) - Select one paragraph that best describes the project. Award points accordingly*

1. The project has a timeline and narrative explanation that clearly describe how the project will complete all aspects of development within the maximum time period for the TIF funding, 24 months for planning projects only, or 36 months for all other projects. (Allocate 6-8 Points)

2. The project has a timeline and narrative that partially describe how the project will complete all aspects of development within the maximum time period for the TIF funding. (Allocate 3-5 Points)

3. The project has a timeline and narrative but they do not adequate describe how the project will complete all aspects of development within the maximum time period for TIF funding, OR the project lacks a timeline or narrative. (Allocate 0-2 Points)

**C. Budget (All projects receive scoring in this section)**
*Budget (6 possible points) - Select one paragraph that best describes the project. Award points accordingly*

1. For planning projects only, the project budget was developed by a qualified planner, or engineer, or engineering firm, and includes all components of the project's development. For all other projects, the project budget was developed by a qualified engineer or engineering firm and includes all components of the project's development. (Allocate 5-6 Points)

2. For planning projects only, the project budget was developed by a qualified planner, engineer, or engineering firm, and includes most but not all components of the project's development. For all other projects, the project budget was developed by a qualified engineer or engineering firm and includes most but not all components of the project's development that are required. (Allocate 3-4 Points)

3. The project budget was not developed by a qualified planner, engineer, or engineering firm (as applicable), or lacks a number of components of the project's development. (Allocate 0-2 Points)

## 3. CAPACITY (25 TOTAL POSSIBLE POINTS):

The Applicant has demonstrated timely expenditure and compliance with requirements for grant funding. *(Select one paragraph that best describes the project. Award points accordingly)*

1. Within the past two (2) years, the Applicant has had no TIF awards that were not fully closed within the timeframe allotted under the IGA or an approved extension and has submitted all required reports for TIF pursuant to the IGA and other applicable IAD-issued memoranda on time. (Allocate 15-25 Points)

2. Within the past two (2) years, the Applicant has had no TIF awards that were not fully closed within the time frame allotted under the IGA or an approved extension and has submitted most of the required reports for TIF pursuant to the IGA and other applicable IAD-issued memoranda on time. (Allocate 7-14 Points)

3. Within the past two (2) years, the Applicant has had TIF awards that were not fully closed within the timeframe allotted under the IGA or an approved extension and has not submitted most of the required reports for TIF pursuant to the IGA and other applicable IAD-issued memoranda on time. (Allocate 0-6 Points)

4. Within the past two (2) years, the Applicant has not received TIF funding and will be assessed based on Board's review of results of Applicant's most recent audit. (Allocate 5-10 Points)

## 4. LEVERAGING (15 TOTAL POSSIBLE POINTS):

Projects are supported by a high percentage of funds in relation to the amount of the TIF funding. *(Select one paragraph that best describes the project. Award points accordingly)*

1.  The project has secured, by the time of the Applicant's final TIF Board presentation date 50% or more of the total project cost from sources other than TIF. (Allocate 11-15 Points)

2.  The project has secured, by the time of the Applicant's final TIF Board presentation date, between 25-49% of the total project cost from sources other than TIF. (Allocate 5-10 Points)

3.  The project has secured, by the time of the Applicant's final TIF Board presentation date, between 0-24% of the total project cost from sources other than TIF. (Allocate 0-4 Points)